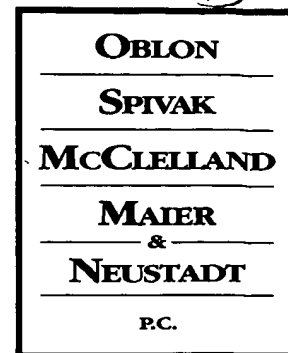




Docket No.: 0557-4909-3

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 09/498,375

Applicants: Kazunori ITO, et al.

Filing Date: February 4, 2000

For: OPTICAL DEVICE SUBSTRATE FILM-
FORMATION APPARATUS, OPTICAL DISK
SUBSTRATE FILM-FORMATION METHOD,
SUBSTRATE HOLDER MANUFACTURE METHOD,
SUBSTRATE HOLDER, OPTICAL DISK AND A
PHASE-CHANGE RECORDING TYPE OF OPTICAL
DISK

Group Art Unit: 1753

Examiner: MCDONALD. R.

SIR:

Attached hereto for filing are the following papers:

Petition Under 37 C.F.R. § 1.181;

Copy of Information Disclosure Statement of 02/04/00;

Copy of PTO-1449 of 02/04/00;

Copy of Date-Stamped Filing Receipt dated 02/04/00

Our check in the amount of **-0-** is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Customer Number

22850

(703) 413-3000 (phone)
(703) 413-2220 (fax)

Surinder Sachar

Registration No. 34,423



DOCKET NO: 0557-4909-3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
KAZUNORI ITO, ET AL. : EXAMINER: MCDONALD, R.
SERIAL NO: 09/498,375 :
FILED: FEBRUARY 4, 2000 : GROUP ART UNIT: 1753
FOR: OPTICAL DEVICE SUBSTRATE :
FILM-FORMATION APPARATUS,
OPTICAL DISK SUBSTRATE FILM-
FORMATION METHOD, SUBSTRATE
HOLDER MANUFACTURE METHOD,
SUBSTRATE HOLDER, OPTICAL DISK
AND A PHASE-CHANGE RECORDING
TYPE OF OPTICAL DISK

PETITION UNDER 37 C.F.R. § 1.181

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

This Petition is in response to the Notice of Allowance mailed April 15, 2004.

Remarks/Arguments begin on page 2 of this paper.

REMARKS/ARGUMENTS

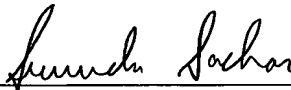
This Petition under 37 C.F.R. § 1.181 requests that the Information Disclosure Statement (IDS) filed with the original application papers on February 4, 2004, be considered and acknowledged. Applicants have not received a signed copy of the filed PTO Form-1449 indicating that all of the references submitted in that IDS have been considered. In particular, IDS cited documents indicated AO-AR have not been acknowledged as considered. Accordingly, this Petition requests that the properly submitted IDS be acknowledged as considered.

For convenience a copy of the previously filed IDS and the date-stamped filing receipt indicating its filing is submitted herein.

As the above-identified application has already been passed to issue an early action on this Petition is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier
Attorney of Record
Registration No. 25,599

Customer Number
22850

Tel: (703) 413-3000
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(OSMMN 08/03)

Surinder Sachar
Registration No. 34,423

GJM/SS/SAE/cac
I:\ATTY\SAE\PROSECUTION\0557-4909\05574909-PET 1.181.DOC

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazunori ITO, et al.

SERIAL NO: New Application

FILED: Herewith

GAU:

EXAMINER:

FOR: OPTICAL DEVICE SUBSTRATE FILM INFORMATION APPARATUS, OPTICAL DISK SUBSTRATE FILM-
FORMATION METHOD, SUBSTRATE HOLDER MANUFACTURE METHOD, SUBSTRATE HOLDER,
OPTICAL DISK AND A PHASE-CHANGE RECORDING TYPE OF OPTICAL DISK



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

C. Irvin McClelland
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Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

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|--|----|--|----------|-----------------------------------|-----------------------|-------------------------------|-------------------------------|
| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 0557-4909-3 | | SERIAL NO. New Application | |
| <div style="position: relative;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; color: white; font-weight: bold; font-size: 1.2em;"> OIPE MAY 19 2004 PATENT & TRADEMARK OFFICE </div> <div style="position: absolute; top: 5px; left: 5px;"> LIST OF REFERENCES CITED BY APPLICANT </div> </div> | | | | APPLICANT Kazunori ITO, et al. | | | |
| | | | | FILING DATE Herewith | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AO | 10-081964 | 03/31/98 | JAPAN (with English Abstract) | | | XX |
| | AP | 2-273345 | 11/07/90 | JAPAN (with English Abstract) | | | XX |
| | AQ | 10-162435 | 06/19/98 | JAPAN (with English Abstract) | | | XX |
| | AR | 4-137526 | 12/22/92 | JAPAN (with English Abstract) | | | XX |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



COPY

Dept.: PP/JF

By: CIM/cs

OSMM&N File No. 0557-4909-3

Serial No. New Application

In the matter of the Application of: Kazunori ITO, et al.

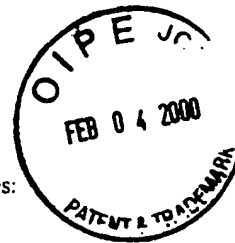
For: OPTICAL DEVICE SUBSTRATE FILM-FORMATION APPARATUS, OPTICAL DISK SUBSTRATE FILM-FORMATION METHOD, SUBSTRATE HOLDER MANUFACTURE METHOD, SUBSTRATE HOLDER, OPTICAL DISK AND A PHASE-CHANGE RECORDING TYPE OF OPTICAL DISK

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 125 pp. Specification & 80 Claims/Drawings 38 Sheets
 - ☐ Combined Declaration, Petition & Power of Attorney pages
 - ☒ List of Inventors' Names and Addresses
 - ☒ Utility Patent Application
 - ☒ Notice of Priority
 - ☒ Check for \$3,148.00
 - ☒ Fee Transmittal Form
 - ☐ Assignment/PTO 1595 pages:
 - ☐ Letter to Official Draftsman
 - ☐ Letter Requesting Approval of Drawing Changes
 - ☐ Drawings sheets ☐ Formal
 - ☐ Letter
 - ☐ Amendment
 - ☒ Information Disclosure Statement
 - ☒ Cited References (4)
 - ☐ Search Report
 - ☐ Statement of Relevancy
 - ☐ IDS/Related/List of Related Cases
 - ☐ Restriction Response
 - ☐ Rule 132 Declaration
 - ☐ Petition for Extension of Time
 - ☐ Notice of Appeal
 - ☐ Brief
 - ☐ Issue Fee Transmittal
 - ☒ White Advance Serial Number Card
 - ☐
- ☐ CPA
☐ Priority Doc
☒ Dep. Acct. Order Form

☐ PTO-1449

☐ Election Response



Due Date: 02/09/00